ENVIRONMENTAL AND SOCIAL QUESTIONNAIRE

Application code. ……………………

I. Client information

**1.1. Applicant name:** ………………………………………………………………………………………………………………………………

**1.2. Exporter (investor) name:** ..………………………………………………….………………………….……………………………….

**1.3. Further information**

Role of exporter (investor): Main contractor [ ]   Subcontractor [ ]  Supplier [ ]  Joint Venture /………….. [ ]

Are other ECAs involved in the project? Yes [ ]  No [ ]  Other [ ]

 *(please specify)*  …………………………………………….

Please specify the name(s) and role(s) of each ECA(s)?

 ……..………………………………………………………………………………………………………………………………………

Does the client have any influence on the design or specifications of the goods/projects? Yes [ ]  No [ ]

……..………………………………………………………………………………………………………………………………

**II. Project information**

**2.1. Project location**: Country: ……………………….……………. City: ……………..…………………

**2.2. Project type**: New project [ ]  Existing operation (extension) [ ]  Existing operation (replacement) [ ]

In case of extension or replacement: does the transaction cause material changes in the output, emission or function of the project?

 Yes [ ]  No [ ]

Please provide detailed description on additional page(s) with regard to the material changes in the output, emission or function of the existing operations/project.

**2.3. Description and subject of the project**

 ………………………………………………………………………………………………………………………………………

 Please provide details on additional page(s) with regard to the subject of the commercial agreement (technical description, site map, management plan, flow charts, technological description).

**2.4. Impact Assessment**

**Has a Strategic Environmental Assessment been prepared (SEA)?** Yes [ ]  No [ ]  Planned [ ]

**Has an Environmental Impact Assessment been prepared (EIA)?**  Yes [ ]  No [ ]  Planned [ ]

**Has an Environmental and Social Impact Assessment been prepared (ESIA)?** Yes [ ]  No [ ]  Planned [ ]

**Has a Human Rights Impact Assessment been prepared (HRIA)?** Yes [ ]  No [ ]  Planned [ ]

**Is a Resettlement Action Plan available (RAP)?** Yes [ ]  No [ ]  Planned [ ]

**Is a Business Plan available (BP)?** Yes [ ]  No [ ]  Planned [ ]

**Is a Feasibility Study available (FS)?** Yes [ ]  No [ ]  Planned [ ]

**Is a Monitoring report required?** Yes [ ]  No [ ]  Planned [ ]

 If yes, please attach a copy or provide us an electronic version. In case of ‘planned’ please provide a deadline.

**2.5. Standards, permit, other requirements**

2.6. Did the Exporter obtain any Quality or Environmental Certification? Yes [ ]  No [ ]

If yes, please specify: ISO 9001/2 [ ]  – ISO 14001 [ ]  – EMAS [ ]  – ISO 14040 [ ]  – Ecolabel [ ]  – Vision 2000 [ ]

If yes, does the Exporter assure that the standard will be applied to the current transaction? Yes [ ]  No [ ]

2.6. Does the Exporter maintain Environmental, Health and Safety Management System? Yes [ ]  No [ ]

2.7. Will the project comply with the host country’s environmental regulations? Yes [ ]  No [ ]

2.8. Will the project comply with the relevant internationally recognized standards? Yes [ ]  No [ ]

 If yes, please secify:

 World Bank Safeguard Policies [ ]  – IFC Performance Standard [ ]  – MIGA’s Environmental Guidelines and

 Policies [ ]  – RDB [ ]  – EU [ ]  – Other [ ]  ………………………………………………......

2.10. Is there any Environmental permit or authorization obtaining currently from the host country? Yes [ ]  No [ ]

2.11. Has the project obtained any legally binding Environmental permit? Yes [ ]  No [ ]

2.12. Will the project be implemented in “protected area” or its 2.5 km district? Yes [ ]  No [ ]

 For further details, please see III. 3.4.

2.13. Does the Exporter have any information about the negative effects on the surrounded area or citizens (e.g. resettlement)?

 Yes [ ]  No [ ]

2.14. Does the CO2 emission of the project reach 25 kt / year? Yes [ ]  No [ ]

 If yes, please attach a copy of the CO2 reduction plan.

**III. Environmental Impacts**

**3.1. Please specify the type of the export**

 Export of goods [ ]  Export of project, technology, know-how [ ]

NEXT PART SHOULD BE FILLED ONLY IN CASE OF EXPORT OF PROJECT, TECHNOLOGY, KNOW-HOW.

**3.2. Project site is located in:**

 [ ]  Greenfield area [ ]  New industrial estate [ ]  Existing industrial estate [ ]  Urban area

**3.3. Has the impact area of the project been identified?** Yes [ ]  No [ ]

**3.4. Environmental impact specifications**

1. Air emission Yes [ ]  No [ ]  Not applicable [ ]

2. Use of and impact on water resources Yes [ ]  No [ ]  Not applicable [ ]

3. Noise pollution Yes [ ]  No [ ]  Not applicable [ ]

4. Waste water, sewage Yes [ ]  No [ ]  Not applicable [ ]

5. Waste generation Yes [ ]  No [ ]  Not applicable [ ]

6. Hazardous waste generation Yes [ ]  No [ ]  Not applicable [ ]

7. Use and Storage of hazardous materials Yes [ ]  No [ ]  Not applicable [ ]

8. Use of previously exploited land Yes [ ]  No [ ]  Not applicable [ ]

7. Other (please specify:……………………………………..)

**3.5. Please specify if the project affects or will affect the following areas:**

 [ ]  UNESCO-MAB Biosphere Reserves Directory

 [ ]  UNESCO World Heritage List

 [ ]  IUCN I-IV areas and red list species

 [ ]  Semi desert and desert areas

 [ ]  Tropical and subtropical forests

 [ ]  Rivers, lakes, shorelines, coral reefs, wetlands, mangroves

 [ ]  National parks, domestic (host country) protected environments and excavation sites, culturally important areas

 [ ]  Investments on sensitive areas that will have a significant effect on site

 [ ]  Habitats of endangered species or areas with high biodiversity concentration

 [ ]  Habitats of vulnerable groups, their basic natural and other resources (e.g. local tribes, ethnicities)

 [ ]  Natural habitats untouched by humans

 [ ]  Areas of dense population and considerable industrial activity where further development could create

 significant environmental and social problems

 [ ]  Other areas of local interest or sensitive locations

 [ ]  None of the above.

**3.6. Please identify and quantify the annual consumption or extraction of natural resources and provide details related to the following resources:**

 [ ]  Metals and metal ores Annual consumption:

  Further details:

 [ ]  Minerals Annual consumption:

 Further details:

 [ ]  Coal Annual consumption:

 Further details:

 [ ]  Oil Annual consumption:

 Further details:

 [ ]  Gas Annual consumption:

 Further details:

 [ ]  Forest products Annual consumption:

 Further details:

 [ ]  Electrical power Annual consumption:

 Further details:

 [ ]  Water Annual consumption:

 Further details:

**3.7. Please identify and quantify the annual production or use of greenhouse gases, ozone depleting substances, hazardous substances or persistent organic pollutants (POPs):**

 [ ]  Carbon-dioxide Annual consumption:

 Further details:

 [ ]  Methane Annual consumption:

 Further details:

 [ ]  Other greenhouse gases Annual consumption:

 (N2O, HFC-k, SF6, PFC-k)

 Further details:

 [ ]  Hazardous substances Annual consumption /emission:

 Further details:

 [ ]  Persistent Organic Pollutant (POP) Annual consumption /emission:

 Further details:

**3.8. Does an associated facility relate to the project?**

 Yes [ ]  No [ ]

 Further details: ……………………………………………………………………………………………………………………………..

**3.9.** **Has public hearing related to the project been conducted**?

 Yes [ ]  No [ ]

 Further details: ……………………………………………………………………………………………………………………………...

**IV. Social and Human Rights Impacts**

**4.1. Does the exporter apply any social or human right due diligence (procedure)?**

 Yes [ ]  No [ ]

 Please specify: ………….……………………………………………………………………………………………………………………………….

 ..………………………………………………………………………………………………………………………………………

**4.2. Are the project or any of its associated facilities affected by any of the below?**

1. Resettlement of local people  Yes [ ]  No [ ]

2. Acquisition of land Yes [ ]  No [ ]

3. Vulnerability of livelihood (e.g. agricultural, fishing, hunting) Yes [ ]  No [ ]

4. Impact on minority or vulnerable groups, (ex. indigenous, tribal, women Yes [ ]  No [ ]

5. Work conducted by migrant workers Yes [ ]  No [ ]

6 Child labour, or employment of persons under the age of l8 Yes [ ]  No [ ]

 7. Forced labour Yes [ ]  No [ ]

 8. Large scale of influx of workers (above 50 people) Yes [ ]  No [ ]

  Please provide further documents, if relevant.

**4.3. Which steps have been taken in order to identify affected population and impacts?**

Collection of baseline data of affected people Yes [ ]  No [ ]  Under elaboration [ ]

Mapping (cities, agricultural lands, infrastructure etc.) Yes [ ]  No [ ]  Under elaboration [ ]

Census of affected people registered according to location (with or without land)

Yes [ ]  No [ ]  Under elaboration [ ]

Socioeconomic analysis of affected people Yes [ ]  No [ ]  Under elaboration[ ]

Inventory of lost or affected assets: household, enterprise, community level

 Yes [ ]  No [ ]  Under elaboration[ ]

Analysis of surveys and studies to determine compensatory parameters

Yes [ ]  No [ ]  Under elaboration[ ]

SIA, ESIA, RAP Yes [ ]  No [ ]  Under elaboration[ ]

If you have responded yes to the above, please attach 1 copy or if it is under elaboration please indicate finishing date of documents.

**4.4 How many people are involved in the resettlement or affected by the resettlement?**

 [ ]

**4.5 Does the project influence the livelihood of the local population or result material change in their daily income?**

 Yes [ ]  No [ ]

**4.6. Are the affected people part of a vulnerable group, such as indigenous, tribal, ethnic minorities, etc**

 Yes [ ]  No [ ]

|  |  |  |
| --- | --- | --- |
|  |  | **Impacted factors** |
| **Project stages** | **Environmental elements** | **Environmental systems** | **People** |
|  |  | Soil | Water | Air | Wildlife | Built environment | Ecosystem | Settlement area | Landscape |
| **Installation / Production** | Land acquisition |  |  |  |  |  |  |  |  |  |
| Construction |  |  |  |  |  |  |  |  |  |
| Completion of construction |  |  |  |  |  |  |  |  |  |
| Associated activities |  |  |  |  |  |  |  |  |  |
| Accidents |  |  |  |  |  |  |  |  |  |
| **Implementation** | I. Operation |  |  |  |  |  |  |  |  |  |
| II. Associated activities – maintenance |  |  |  |  |  |  |  |  |  |
| Accidents, malfunctions |  |  |  |  |  |  |  |  |  |
| **Abandonment** |  |  |  |  |  |  |  |  |  |

**Note: please mark the environmental factors affected by the project / export by X in each stages of the project.**

The exporter declares that the environmental, social and human rights, the climate protection and greenhouse gas effects of the export / project:

* do not exceed the threshold of the international standards (and / or environmental regulations) applied.
* exceed the threshold of the international standards (and / or environmental regulations) applied. (Please specify:………………………………………………………)

**The exporter further declares that the data and information provided in this questionnaire is, to the best of his knowledge, correct and undertakes to provide additional information on environmental matters if the insurer deems it necessary.**

**Date:………………………………..……**

**Signature and stamp:**