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EXPORT BANK INSURANCE

Hungarian Export-Import Bank Plc.
Hungarian Export Credit Insurance Plc.



AML AND KYC QUESTIONNAIRE OF HUNGARIAN EXPORT-IMPORT BANK PLC.

1.	Full legal name and short name	
2.	Legal form	
3.	Registration number, date of registration, country of registration, name of the registering authority	
4.	Identification code	
5.	Taxpayer number	
6.	Type of licence, number of licence, date of issue, name of the issuing authority	
7.	Address of incorporation	
8.	Postal address	
9.	Contact name: Phone: Fax: E-mail:	
10.	Website address	
11.	Telex	
12.	S.W.I.F.T.	
13.	REUTERS	
14.	FATCA Compliance Status	
15.	GIIN	
16.	Names of the persons entitled to act in the name of the bank	
17.	Personal structure of the executive board and senior management members	
18.	Ownership structure listing all shareholders owning more than 10 per cent therein	
19.	Names of persons or entities who own the amount of the shares enabling to control the bank's activity	
20.	Do owners/beneficial owners own any other financial institutions?	
21.	Are there Politically Exposed Persons (PEPs) among Shareholders or members of the board of directors of your Bank? If yes please indicate names, place and date of birth.	



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22.	Network of branches/Representative offices	
23.	Affiliates, subsidiaries	
24.	Name of external auditors	
25.	Name of the regulating authority of the country of residence Frequency of inspections	
26.	Has the Bank subjected to any investigation, indictment, conviction or civil enforcement action related to money laundering, terrorism financing and financing of proliferation of weapons of mass destruction during the past three years? Yes/No	
27.	Does the Bank open anonymous accounts? Yes/No	
28.	Does the Bank have policies covering relationships with Politically Exposed Persons (PEP's), their family and close associates? Yes/No	
29.	Does the Bank maintain correspondent relations with the banks registered on territories with privileged taxation policies and/or that do not provide disclosing information on effected financial transactions? Yes/No	
30.	Does the Bank maintain correspondent relations with shell banks? Yes/No	
31.	Does the Bank provide AML training to relevant employees that includes: <ul style="list-style-type: none"> • Identification and reporting of transactions that must be reported to government authorities. • Examples of different forms of money laundering involving the FI's products and services. • Internal policies to prevent money laundering. Yes/No 	
32.	Is the Bank required to comply with any Economic Sanctions or Trade embargo programs imposed by EU, UN, US? Yes/No	
33.	Does the Bank search its customer account database for persons and entities named under a particular sanction programme? Yes/ No.	



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34.	Does the Bank have any transactions with Banks based in sanctioned/banned countries - Cuba, Iran, Sudan, Syria, North Korea? Yes/ No. If yes please provide details.	
35.	Does the Bank have an employee designated to monitor suspicious client activities and to ensure compliance of the Bank with legislation and regulatory requirements relating to prevention of money laundering and terrorist financing? Yes/No If yes please provide details (Full name, position, e-mail)	

Date:

Signature